FINANCIAL DATA CHECKLIST

NAME: ______________________ NAME: ______________________

DOB: ______________________ DOB: ______________________

SS#: ______________________ SS#: ______________________

Driver's License#: ______________________ Driver's License#: ______________________

Name, DOB. and SS#'s of children/dependents

Name:______________________ DOB.__________ SS# __________

Name:______________________ DOB.__________ SS# __________

Name:______________________ DOB.__________ SS# __________

Name:______________________ DOB.__________ SS# __________

Home Address: ____

____

Home Phone: ______________________

Client: ______________________ Client: ______________________

Cell Phone: ______________________ Cell Phone: ______________________

Fax: ______________________ Fax: ______________________

Email: ______________________ Email: ______________________

Occupation: ______________________ Occupation: ______________________

Work Name: ______________________ Work Name: ______________________

Address: ______________________ Address: ______________________

______________________ ______________________

Work Phone: ______________________ Work Phone: ______________________

Work Fax: ______________________ Work Fax: ______________________

Work email: ______________________ Work email: ______________________

Approx. Annual Income: ______________________ Approx. Annual Income:______________________

Tax Bracket: 0-15% 16-30% 31%+

Approx. Liquid Net Worth: ______________________

Approximate Net Worth: ______________________

(Exclusive of Residence)

Name of Bank______________________

Pamela B. Pearson, CERTIFIED FINANCIAL PLANNER ™
One Garden Street, Garden City, NY 11530
Office: 516.7467054 - Fax: 516.746.7048
ppearson@americanportfolios.com
www.XXXXXXX.com

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Please gather the following applicable documents. Not all of the following will apply to everyone. I have attempted to compile a comprehensive list in order to assist you.

Personal Documents:

A. Wills (husband and wife)
B. Trust documents
C. Assets:
- Indicate title: (husband, wife, children, and trust)
- Personal property (home contents, special collections, etc)
- Cash, cash equivalents
- Stocks, mutual funds, bonds (most recent statements)
- Limited partnerships (most recent statements)
- Homes (purchase price, market value, date of purchase)
- Mortgage information --date of origination, %, term

D. Liabilities: secured, unsecured, terms
E. Names, dates of birth, ss#s, citizenship of all family members
F. Income tax returns (most recent two years)
G. Insurances: *All policies and last annual statements*
- Life
- Disability
- Professional
- Long term health care
- Medical

H. Pre/post nuptial agreements/amendments
I. Potential inheritances
J. Gift tax returns
K. List of personal advisors
L. Monthly cash flow
M. Anything else you feel may apply to a comprehensive plan....

Business Documents:

1. Group benefit books and statements
2. Pension plan documents and most recent statement
3. Savings plan, 401(k) plan, profit sharing, DB plans
4. Deferred compensation documents and statements
5. Employee agreements
6. Buy-sell agreements, partnership agreements
7. Census of all employees: dates of birth, dates of hire, salary, sex
8. Type of entity: s-corp, c corp, partnership, LLC, etc
9. Most recent financial statement and business tax returns